



Docket No.: Trilog.6194

\$ SECTOR

#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Alexandre El Homsy GROUP: 2161

SERIAL NO: 09/828,596 EXAMINER: Unknown

FILED: April 6, 2001

FOR: WORKFLOW SYSTEM AND METHOD

Box Missing Parts  
Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

COMPLETION OF FILING REQUIREMENTS

In response to the Notice to File Missing Parts of Nonprovisional Application mailed on May 24, 2001, a copy of which is enclosed, we enclose herewith the Declaration and Power of Attorney. Enclosed is a check for \$590.00 to cover the application filing fee and the surcharge fee. Also enclosed are 39 pages of substitute drawings with the appropriate margins.

The Commissioner is authorized to charge Deposit Order Account No. 19-0079 for any further extension and/or fee that is required.

Respectfully submitted,

*Patrick J. O'Shea*

Patrick J. O'Shea  
Registration No. 35,305  
Samuels, Gauthier & Stevens LLP  
225 Franklin Street, Suite 3300  
Boston, Massachusetts 02110  
Telephone: (617) 426-9180  
Extension: 121

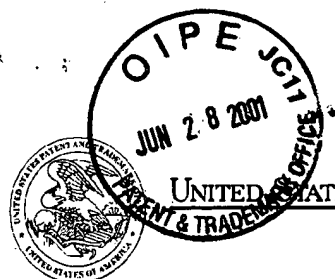
I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231, Attn: Application Division.

*Amy M. Flick*

Amy M. Flick

6-25-2001

Date



COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/828,596	04/06/2001	Alexandre El Homs	6194

CONFIRMATION NO. 5687

## FORMALITIES LETTER



\*OC00000006110818\*

Samuels, Gauthier & Stevens LLP  
225 Franklin Street, Suite 3300  
Boston, MA 02110

Date Mailed: 05/24/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

07/03/2001 HADDI1 00000070 09828596

01 FC:201	355.00 OP
02 FC:202	80.00 OP
03 FC:203	90.00 OP
04 FC:205	65.00 OP

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$170.
  - \$90 for 10 total claims over 20.
  - \$80 for 2 independent claims over 3.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 590.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

---

*A copy of this notice MUST be returned with the reply.*

*Monong*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



Receipt

Docket No: Trilog.6194

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Alexandre El Homsi GROUP: 2161  
SERIAL NO: 09/828,596 EXAMINER: Unknown  
FILED: 04/06/2001  
FOR: WORKFLOW SYSTEM AND METHOD

Assistant Commissioner of Patents  
Washington, D.C. 20231  
Sir:

RECEIVED  
SEP 04 2001  
Technology Center 2100

REQUEST FOR CORRECTED FILING RECEIPT

- Attached is a copy of the official filing receipt from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested.
- There is an error with respect to the following data which is:

\_\_\_ incorrectly entered

and/or

X omitted

Error in

Correct data

- |                                  |   |
|----------------------------------|---|
| 1. ___ Applicant's name          | 1.  |
| 2. ___ Applicant's address       | 2.  |
| 3. <u>X</u> Priority Information | 3. This application claims priority to two (2) applications and only one is listed. <u>This application also claims benefit of 60/281,914 filed 04/05/2001.</u> |

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Amy M. Flick  
Amy M. Flick

8-8-2001  
Date

3. (complete the following applicable item A or B)

A.   X   The correction(s) is/are not due to any error by applicant and no fee is due.

OR

B.        At least one of the above corrections is due to applicant's error and the fee therefor under 37 CFR 1.19(h) of \$25.00 is paid as follows:

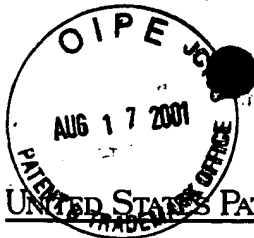
       Enclosed is check for \$25.00

       Charge Account 19-0079 \$25.00.

Respectfully submitted,



Patrick J. O'Shea  
Registration No. 35,305  
Samuels, Gauthier & Stevens  
225 Franklin Street  
Boston, Massachusetts 02110  
Telephone: (617) 426-9180  
Extension 121



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/828,596	04/06/2001	2161	590	Trilog 6194	39	30	5

CONFIRMATION NO. 5687

UPDATED FILING RECEIPT



\*OC00000006378186\*

Samuels, Gauthier & Stevens LLP  
225 Franklin Street, Suite 3300  
Boston, MA 02110

RECEIVED

AUG 06 2001

Date Mailed: 08/03/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Alexandre El Homsy, Paris, FRANCE;

## Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/194,932 04/06/2000

and 60/281,914 04/05/2001

## Foreign Applications

If Required, Foreign Filing License Granted 05/23/2001

Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes

Early Publication Request: No

\*\* SMALL ENTITY \*\*

## Title

Workflow system and method

## Preliminary Class

705

Data entry by

Team

Date





**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**RECEIVED**  
SEP 04 2001  
Technology Center 2100

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231





## UNITED STATES PATENT AND TRADEMARK OFFICE

**FILE COPY**
 COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov


Bib Data Sheet

CONFIRMATION NO. 5687

<b>SERIAL NUMBER</b> 09/828,596	<b>FILING DATE</b> 04/06/2001 <b>RULE</b>	<b>CLASS</b> 705	<b>GROUP ART UNIT</b> 2161	<b>ATTORNEY DOCKET NO.</b> Trilog 6194
------------------------------------	---	---------------------	-------------------------------	---

**APPLICANTS**

Alexandre El Homsi, Paris, FRANCE;

**\*\* CONTINUING DATA \*\*\*\*\***
 THIS APPLN CLAIMS BENEFIT OF 60/194,932 04/06/2000  
 AND CLAIMS BENEFIT OF 60/281,914 04/05/2001
**\*\* FOREIGN APPLICATIONS \*\*\*\*\*****IF REQUIRED, FOREIGN FILING LICENSE GRANTED \*\* SMALL ENTITY \*\***

\*\* 05/23/2001

Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no	<b>STATE OR COUNTRY</b> FRANCE	<b>SHEETS DRAWING</b> 39	<b>TOTAL CLAIMS</b> 30	<b>INDEPENDENT CLAIMS</b> 5
35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance				
Verified and Acknowledged	Examiner's Signature	Initials		

**ADDRESS**
 Samuels, Gauthier & Stevens LLP  
 225 Franklin Street, Suite 3300  
 Boston, MA 02110
**TITLE**

Workflow system and method

<b>FILING FEE RECEIVED</b> 590	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees
		<input type="checkbox"/> 1.16 Fees ( Filing )
		<input type="checkbox"/> 1.17 Fees ( Processing Ext. of time )
		<input type="checkbox"/> 1.18 Fees ( Issue )
		<input type="checkbox"/> Other _____
		<input type="checkbox"/> Credit